

FORM NO. MGT-7

[Pursuant to sub-section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11 of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L65920AP1923PLC000044

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAACL1893P

(ii) (a) Name of the company

LAKSHMI FINANCE AND INDUS

(b) Registered office address

1ST FLOOR, SURYADAYA,
1-10-60/3, BEGUMPET,
HYDERABAD
Andhra Pradesh
INDIA

(c) *email-ID of the company

lakshmi_lfic@yahoo.com

(d) *Telephone number with STD code

04027760301

(e) Website

www.lakshmifinance.org

(iii) Date of Incorporation

20/11/1923

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

☒ Yes

☐ No

(vi) *Whether shares listed on recognized Stock Exchange(s)

☒ Yes

☐ No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	NATIONAL STOCK EXCHANGE OF INDIA LIMITED	1,024
2		

(b) CIN of the Registrar and Transfer Agent

U65993AP1986PTC006936

Pre-fill

Name of the Registrar and Transfer Agent

VENTURE CAPITAL AND CORPORATE INVESTMENTS PVT LTD

Registered office address of the Registrar and Transfer Agents

H.NO.12-10-167, BHART NAGAR, HYDERABAD-500018

(vii) *Financial year From 01/04/2014 (DD/MM/YYYY) To 31/03/2015 (DD/MM/YYYY)

(viii) *Whether Annual General Meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM 13/08/2015

(b) Due date of AGM 30/09/2015

(c) Whether any extension for AGM granted ☐ Yes ☒ No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities 1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% to total turnover of company
1	K	Financial and insurance Service	K8	Other financial activities	100

III. PARTICULARS OF HOLDING, SUBSIDIARY, JOINT VENTURES AND ASSOCIATE COMPANIES

*No. of Companies for which information is to be given 0

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/ Joint Ventures/ Associate	% of shares held
1				

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL**(a) Equity share capital**

Particulars	Face Value	Authorized Capital	Issued capital	Subscribed capital	Paid Up capital
Total number of equity shares	1	6,000,000	3,000,000	3,000,000	3,000,000
Total amount of equity shares (in rupees)	10	60,000,000	30,000,000	30,000,000	30,000,000

Number of classes

1

Class of shares	Authorized Capital	Issued capital	Subscribed Capital	Paid Up capital
Number of equity shares	6,000,000	3,000,000	3,000,000	3,000,000
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	60,000,000	30,000,000	30,000,000	30,000,000

(b) Preference share capital

Particulars	Authorized Capital	Issued capital	Subscribed capital	Paid Up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorized Capital	Issued capital	Subscribed Capital	Paid Up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)	0	0	0	0

(c) Unclassified share capital

Particulars	Authorized Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of Shares	Number of shares	Total Nominal Amount	Total Paid-up amount	Total premium
Equity shares				
At the beginning of the year	3,000,000	30,000,000	30,000,000	

Increase during the year	0	0	0	0
i. Public Issues	0	0	0	
ii. Rights issue	0	0	0	
iii. Bonus issue	0	0	0	
iv. Private Placement/ Preferential allotment	0	0	0	
v. ESOPs	0	0	0	
vi. Sweat equity shares allotted	0	0	0	
vii. Conversion of Preference share	0	0	0	
viii. Conversion of Debentures	0	0	0	
ix. GDRs/ADRs	0	0	0	
x. Others, Specify				
Decrease during the year	0	0	0	0
i. Buy-back of shares	0	0	0	
ii. Shares forfeited	0	0	0	
iii. Reduction of share capital	0	0	0	
iv. Others, Specify				
At the end of the year	3,000,000	30,000,000	30,000,000	
Preference shares				
At the beginning of the year	0	0	0	
Increase during the year	0	0	0	0
i. Issues of shares	0	0	0	
ii. Re-issue of forfeited shares	0	0	0	
iii. Others, Specify				
Decrease during the year	0	0	0	0
i. Redemption of shares	0	0	0	

ii. Shares forfeited	0	0	0	
iii. Reduction of share capital	0	0	0	
iv. Others, Specify				
At the end of the year	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

☐ Nil

[Details being provided in a CD/Digital Media]

☐

Yes

☒

No

☐

Not Applicable

Separate sheet attached for details of transfers

☒

Yes

☐

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of Previous AGM		05/08/2014	
Date of Registration of Transfer of Shares		31/03/2015	
Type of Transfer	Equity Shares	1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock	
Number of Shares/ Debentures Transferred	0	Amount per Share (in Rs.)	10
Ledger Folio of Transferor		DETAILS ATTACHED	

Transferor's Name	DETAILS ATTACHED	DETAILS ATTACHED	DETAILS ATTACHED
	Surname	Middle Name	First Name
Ledger Folio of Transferee	DETAILS ATTACHED		
Transferee's Name	DETAILS ATTACHED	DETAILS ATTACHED	DETAILS ATTACHED
	Surname	Middle Name	First Name

Date of Registration of Transfer of Shares		31/03/2015	
Type of Transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures Transferred		Amount per Share (in Rs.)	10
Ledger Folio of Transferor	DETAILS ATTACHED		
Transferor's Name	DETAILS ATTACHED	DETAILS ATTACHED	
	Surname	Middle Name	First Name
Ledger Folio of Transferee	DETAILS ATTACHED		
Transferee's Name	DETAILS ATTACHED	DETAILS ATTACHED	DETAILS ATTACHED
	Surname	Middle Name	First Name

Date of Registration of Transfer of Shares			
Type of Transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures Transferred		Amount per Share (in Rs.)	
Ledger Folio of Transferor			

Transferor's Name	DETAILS ATTACHED	DETAILS ATTACHED	DETAILS ATTACHED
	Surname	Middle Name	First Name
Ledger Folio of Transferee	DETAILS ATTACHED		
Transferee's Name	DETAILS ATTACHED	DETAILS ATTACHED	DETAILS ATTACHED
	Surname	Middle Name	First Name

Date of Registration of Transfer of Shares				
Type of Transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock		
Number of Shares/ Debentures Transferred		Amount per Share (in Rs.)	10	
Ledger Folio of Transferor	DETAILS ATTACHED			
Transferor's Name	DETAILS ATTACHED	DETAILS ATTACHED	DETAILS ATTACHED	
	Surname	Middle Name	First Name	
Ledger Folio of Transferee	DETAILS ATTACHED			
Transferee's Name	DETAILS ATTACHED	DETAILS ATTACHED	DETAILS ATTACHED	
	Surname	Middle Name	First Name	

Date of Registration of Transfer of Shares				31/03/2015
Type of Transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock		
Number of Shares/ Debentures Transferred		Amount per Share (in Rs.)	10	
Ledger Folio of Transferor	DETAILS ATTACHED			

Transferor's Name	DETAILS ATTACHED	DETAILS ATTACHED	DETAILS ATTACHED
	Surname	Middle Name	First Name
Ledger Folio of Transferee	DETAILS ATTACHED		
Transferee's Name	DETAILS ATTACHED	DETAILS ATTACHED	DETAILS ATTACHED
	Surname	Middle Name	First Name

Date of Registration of Transfer of Shares	31/03/2015		
Type of Transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures Transferred		Amount per Share (in Rs.)	10
Ledger Folio of Transferor	DETAILS ATTACHED		
Transferor's Name	DETAILS ATTACHED	DETAILS ATTACHED	DETAILS ATTACHED
	Surname	Middle Name	First Name
Ledger Folio of Transferee	DETAILS ATTACHED		
Transferee's Name	DETAILS ATTACHED	DETAILS ATTACHED	DETAILS ATTACHED
	Surname	Middle Name	First Name

(iv) *Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans excluding deposits			0
Unsecured Loans excluding deposits			0
Deposit			0
Total	0		0

Details of debentures

Class of Debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)**(i) Total Turnover**

68,818,592

(ii) Net worth of the Company

332,284,510

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Indian	Foreign
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		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/HUF				
	(i) Indian	680,671	22.68903333		
	(ii) Non-resident Indian (NRI)			104,640	3.488
	(iii) Foreign national (other than NRI)			0	0
2.	Government				
	(i) Central Government	0	0	0	0
	(ii) State Government	0	0	0	0
	(iii) Government companies	0	0	0	0
3.	Insurance companies	0	0	0	0
4.	Banks	0	0	0	0
5.	Financial institutions	0	0		
6.	Foreign institutional investors			0	0
7.	Mutual funds	0	0	0	0
8.	Venture capital	0	0	0	0
9.	Body corporate (not mentioned above)	619,680	20.656	0	0
10.	Others		0		0
	Total	1,300,351	43.35	104,640	3.49

Total number of shareholders

19

(b) * SHARE HOLDING PATTERN - Public

S. No.	Category	Indian		Foreign	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/HUF				
	(i) Indian	1,561,228	52.04093333		
	(ii) Non-resident Indian (NRI)			2,394	0.0798
	(iii) Foreign national (other than NRI)			0	0

2.	Government				
	(i) Central Government	0	0	0	0
	(ii) State Government	0	0	0	0
	(iii) Government companies	0	0	0	0
3.	Insurance companies	3,860	0.12866667	0	0
4.	Banks	900	0.03	0	0
5.	Financial institutions	0	0		
6.	Foreign institutional investors			0	0
7.	Mutual funds	0	0	0	0
8.	Venture capital	0	0	0	0
9.	Body corporate (not mentioned above)	26,627	0.88756667	0	0
10.	Others		0		0
	Total	1,592,615	53.09	2,394	0.08

Total number of shareholders

5,758

Total number of shareholders (Promoters+Public)

5,777

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS

Details	As at beginning of the year	Addition during the year	Cessation during the year	As at end of the year
Promoters	19	0	0	19
Members (other than promoters)	5,758	0	0	5,758
Debenture holders	0	0	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive

A. Promoter	1	1	1	2	4.98	3.56
B. Non-Promoter	0	4	0	4	0	0
(i) Non-Independent	0	0	0	0	0	0
(ii) Independent	0	4	0	4	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	1	5	1	6	4.98	3.56

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

9

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity shares held	Date of cessation (after closure of financial year : If any)
K.HARISHCHANDRA P	00012564	Managing Director	149,280	
R.SURENDER REDDY	00083972	Director	6,140	
KAPIL BHATIA	00090776	Director	740	
M.RANGANATH SAI	00284202	Director	4,820	
KESHAV BHUPAL	00123184	Director	3,300	
K KAPIL PRASAD	02940558	Director	76,587	
K ARUN PRASAD	06830316	Additional director	26,666	13/08/2015
U VIJAYA KUMAR	AAJPU9855A	CFO	0	
SUMAN BUNG	ATLPB8012H	Company Secretar	0	30/09/2015

(ii) Particulars of change in director(s) and Key managerial personnel during the year

3

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
K ARUN PRASAD	06830316	Additional director	07/02/2015	APPOINTMENT
U VIJAYA KUMAR	AAJPU9855A	CFO	05/08/2014	APPOINTMENT
SUMAN BUNG	ATLPB8012H	Company Secretar	01/10/2014	APPOINTMENT

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/CLB/NCLT/COURT CONVENED MEETINGS

Number of meetings held

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETI	05/08/2014	22	22	0.58

B. BOARD MEETINGS

*Number of meetings held

S. No.	Date of meeting	Total Number of directors as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	10/05/2014	6	5	83.33333333
2	05/08/2014	6	4	66.66666667
3	04/11/2014	6	4	66.66666667
4	07/02/2015	7	7	100

C. COMMITTEE MEETINGS

Number of meetings held

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of total shareholding
1	AUDIT COMM	10/05/2014	4	3	75
2	AUDIT COMM	05/08/2014	4	2	50
3	AUDIT COMM	04/11/2014	4	2	50
4	AUDIT COMM	07/02/2015	4	4	100

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of total shareholding
5	NOMINATION	10/05/2014	3	3	100
6	INDEPENDEN	07/02/2015	4	4	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the Director	Board Meetings			Committee Meetings			Whether attended last AGM held on
		No of Meetings which were entitled to attend	No. of Meetings attended	% of attendance	No. of Meetings Held	No. of Meetings attended	% of attendance	13/08/2015
								(Y/N/NA)
1	K.HARISHCH	4	4	100	0	0	0	Yes
2	R.SURENDE	4	3	75	6	5	83.33333333	Yes
3	KAPIL BHATI	4	3	75	6	5	83.33333333	Yes
4	M.RANGANA	4	1	25	4	1	25	No
5	KESHAV BHU	4	4	100	6	6	100	Yes
6	K KAPIL PRA	4	4	100	1	1	100	Yes
7	K ARUN PRA	1	1	100	0	0	0	No

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	K HARISHCHANDR	MANAGING DIF	5,384,519	0	0	0	5,384,519
	Total		5,384,519	0	0	0	5,384,519

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	U VIJAYA KUMAR	CFO	520,800	0	0	0	520,800
2	SUMAN BUNG	COMPANY SEC	105,736	0	0	0	105,736

S. No.	Name	Designation	Gross salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
	Total		626,536	0	0	0	626,536

Number of other directors whose remuneration details to be entered

0

S. No.	Name	Designation	Gross salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total				0		0

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

A. CERTIFICATION OF COMPLIANCES

Whether company has made all compliances and disclosures during the year ☒ Yes ☐ No

If No, give details along with the reasons and supporting documents

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the act and section under which penalized / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

☒ Yes ☐ No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in form MGT-8.

Name

N MADHAVI

Whether associate or fellow

☒ Associate ☐ Fellow

Certificate of practice number

11732

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am authorized by the Board of Directors of the company vide resolution number *dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

HARISHCHA
NDRAPRAS
ADKANURI

DIN of the director

00012564

To be digitally signed by

N
MADHAVI

☐ Company Secretary

☒ Company Secretary in Practice

Membership number

16866

Certificate of practice number

11732

Attachments

1. list of share holders, debenture holders;
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachement(s), if any.

Attach**Attach****Attach****Attach****List of attachments**

LFIC_SH-LIST_31.03.2015..pdf
LFIC_MGT_8.pdf
LFIC_SHT_31.03.2015..pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the registrar of companies through electronic mode and on the basis of statement of correctness given by the company